Attorney's Docket No.: 21545-112001

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ng, et al. Art Unit: 1753

Serial No.: 10/645,022 Examiner: Anthony D. Fick

Filed : August 21, 2003 Conf. No. : 2918

Title : SILICON NANOPARTICLES EMBEDDED IN POLYMER MATRIX

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

## **REQUEST FOR REFUND**

On or about July 3, 2007 and March 10, 2008, Applicants paid the required fees for a large entity Petition for Extension of Time by way of Deposit Account No. 06-1050 (copies attached). However, Applicant is entitled to small entity status as evidenced by the attached copy of the Application Transmittal submitted on August 21, 2003.

Accordingly, Applicants respectfully request that the over paid amounts of \$510 and \$525, respectively, be refunded to Fish & Richardson's Deposit Account No. 06-1050 as a credit please credit.

Respectfully submitted,

Date: April 2 2008

Kelly K. Kordzik

Fish & Richardson P.C. One Congress Plaza Suite 810 111 Congress Avenue Austin, TX 78701

Telephone: (512) 472-5070 Facsimile: (512) 320-8935

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PTO/S8/05 (03-01)

Approved for use through 10/31/2002. OMB 0551-0032
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# UTILITY PATENT APPLICATION TRANSMITTAL

Signature

Attorney Docket No.		12179-P112US
		Kwok Ng
Title Silicon Nanoparticles Embedded in Polymer Matr		

Date

Express Mail Label No. EL823268199US (Only for new nonprovisional applications under 37 CFR 1.53(b)) Assistant Commissioner for Patents **APPLICATION ELEMENTS** ADDRESS TO: Box Patent Application Washington, DC 20231 See MPEP chapter 600 concerning utility patent application contents. Fee Transmittal Form (e.g., PTO/SB/17) (Submit on original and a deplicate for fee processing) CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission 2. See 37 CFR 1.27. (if applicable, all necessary) [Total Pages 13 Specification (preferred errange 3. 🗸 Computer Readable Form (CRF) - Descriptive title of the invention b. Specification Sequence Listing on: - Cross Reference to Related Applications I. CD-ROM or CD-R (2 copies); or - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, ii. paper or a computer program listing appendix - Background of the Invention Statements verifying identity of above copies - Brief Summary of the Invention - Brief Description of the Drawings (if filed) ACCOMPANYING APPLICATION PARTS - Detailed Description Assignment Papers (cover sheet & document(s)) - Claim(s) 37 CFR 3.73(b) Statement - Abstract of the Disclosure (when there is an assignee) English Translation Document (if applicable) 4. Drawing(s) (35 U.S.C. 113) [ Total Sheets 6 Copies of IDS Information Disclosure [ Total Pages Citations 5. Oath or Declaration Statement (IDS)/PTO-1449 **Preliminary Amendment** Newly executed (original or copy)
Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed) Return Receipt Postcard (MPEP 503) (Should be specifically itemized) Certified Copy of Priority Document(s) (if foreign priority is claimed) DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) Nonpublication Request under 35 U.S.C. 122 named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 6. Application Data Sheet. See 37 CFR 1.76 Other: 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminery amendment, or in an Application Data Sheet under 37 CFR 1.76; Continuation П Divisional Continuation-in-part (CIP) of prior application No.: Prior application information: Group Art Unit: Examiner For CONTINUATION OR DIVISIONAL APPS only. The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 65, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS 1 Customer Number or Bar Code Lebel Kelly K. Kordzik, Winstead Sechrest & Minick P.C Name P.O. Box 50784 1201 Main Street Address State Zip Code TX 75250-0784 City **Dallas** 512-370-2851 512-370-2850 Fax U.S.A. Telephone Country Kelly K. Kordzik Registration No. (Attorney/Agent) 36,571 Name (Print/Type) 08/21/2003

Burden Hour Statement: This form is estimated to take 0/2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, OC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



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P.O. Box 1450

Alexandria, VA 22313-1450

#### PETITION FOR THREE-MONTH EXTENSION OF TIME

Pursuant to 37 CFR §1.136, applicant hereby petitions that the period for response to the action dated January 3, 2007, be extended for three months to and including July 3, 2007.

Please apply \$1020 for the required fee and any other charges or credits to Deposit

Account No. 06-1050.

Respectfully submitted,

July 3, 2007

Keill K. Kordzik Reg. No. 56,5

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Alexandria, VA 22313-1450

### PETITION FOR THREE-MONTH EXTENSION OF TIME

Pursuant to 37 CFR §1.136, applicant hereby petitions that the period for response to the action dated September 18, 2007, be extended for three months to and including March 18, 2008.

Please charge Deposit Account No. 06-1050 in the amount of \$1050 for the required fee. Please apply any other charges or credits to Deposit Account:No. 06-1050.

Respectfully submitted,

Fish & Richardson P.C. One Congress Plaza Suite 810 111 Congress Avenue Austin, TX 78701

Telephone: (512) 472-5070 Facsimile: (512) 320-8935

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